# State of Rhode Island and Providence Plantations Department of Administration Division of Purchases

#### RIVIP BIDDER CERTIFICATION COVER FORM

#### **SECTION 1 - BIDDER INFORMATION**

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

**Solicitation Number:** 

7549350

**Solicitation Title:** 

TAXATION INTERIOR TENANT FITOUT, POWERS BLDG, PROV, RI-DOA-& ONE ZIP FILE

**Bid Proposal Submission** 

Deadline Date & Time:

3/27/2015

2:00 PM

RIVIP Vendor ID #:

28954

**Bidder Name:** 

Legacy General Contracting Inc.

Address:

654 Metacom Ave

Warren, RI 02885

usa

Telephone:

(401) 714-7989

Fax:

(401) 247-7490

Contact Name:

Mario Lagoa

Contact Title:

President

**Contact Email:** 

mario@lgchomes.com

## **SECTION 2 — DISCLOSURES**

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below. Complete Disclosure 5. If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder.

State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.

2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.

State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder
or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by
the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.

- 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.
  - 5. List each officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder.

Mario Lagos	- 600 Postut	
21:cost	Lec V.P.	
Paul Lopes	LEC TRESINER	

#### **SECTION 3 — CERTIFICATIONS**

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

#### THE BIDDER CERTIFIES THAT:

- 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
- The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.
- 6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.
- 7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.
- 8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):		
State of Rhode Island through the Division of solicitation and the bid proposal. The Bidder congress to comply with its terms and conditions; information submitted in the bid proposal (inclosomplete. The Bidder acknowledges that the tender incorporated into any contract awarded to the person signing below represents, under penalty	uant to this solicitation constitutes an offer to contract with the Purchases on the terms and conditions contained in this ertifies that: (1) the Bidder has reviewed this solicitation and (2) the bid proposal is based on this solicitation; and (3) the luding this Bidder Certification Cover Form) is accurate and ms and conditions of this solicitation and the bid proposal will be Bidder pursuant to this solicitation and the bid proposal. The lay of perjury, that he or she is fully informed regarding the land has been duly authorized to execute and submit this bid	
	BIDDER	
Date: 3/27/15	Legacy General Contracting Inc.  Name of Bidder  Signature in ink  Mario J. Lagoa President	
	Printed name and title of person signing on behalf of Bidder	

Solicitation Title: Taxation Interior Tenant Fitout

## **BID FORM**

To: The State of Rhode Island Department of Administration

Division of Purchases, 2<sup>nd</sup> Floor

One Capitol Hill, Providence, RI 02908-5855

Bidder: Legacy General Contracting Inc.

654 Metacom are Warren RI 02885

Address (street/city/state/zip)

Mario Lagoa mario (gchomes con Contact name

40 ( 247 - 7498 401 24

Contact telephone Contact fax

## 1. BASE BID PRICE

The Bidder submits this bid proposal to perform all of the work (including labor and materials) described in the solicitation for this Base Bid Price (including the costs for all Allowances, Bonds, and Addenda):

(base bid price in figures printed electronically, typed, or handwritten legibly in ink)

Three figures printed electronically, typed, or handwritten legibly in ink)

(base bid price in words printed electronically, typed, or handwritten legibly in ink)

#### Allowances

The Base Bid Price *includes* the costs for the following Allowances:

No. 1: Unforseen Mechanical/Electrical

& Plumbing work after Demolition \$10,000.00

No. 2: Replacement of Framing and/or

Drywall due to unforeseen Damage \$10,000.00

Total Allowances: \$20,000.00

Solicitation Title: Taxation Interior Tenant Fitout

# • Bonds

The Base Bid Price <u>includes</u> the costs for all Bid and Payment and Performance Bonds required by the solicitation.

# Addenda

The Bidder has examined the entire solicitation (including the following Addenda), and the Base Bid Price **includes** the costs of any modifications required by the Addenda.

All Addenda must be acknowledged.
Addendum No. 1 dated: 3/19/2015
Addendum No. 2 dated:
Addendum No. 3 dated:
Addendum No. 4 dated:
2. <u>ALTERNATES</u> (Additions/Subtractions to Base Bid Price)
The Bidder offers to: (i) perform the work described in these Alternates as selected by the State in the order of priority specified below, based on the availability of funds and the best interest of the State; and (ii) increase or reduce the Base Bid Price by the amount set forth below for each Alternate selected.
Check "Add" or "Subtract."
Add Subtract Alternate No. 1: N/A
(amount <i>in figures</i> printed electronically, typed, or handwritten legibly in ink)  (amount <i>in words</i> printed electronically, typed, or handwritten legibly in ink)

Solicitation Title: Taxation Interior Tenant Fitout

### 3. UNIT PRICES

The Bidder submits these predetermined Unit Prices as the basis for any change orders approved in advance by the State. These Unit Prices include <u>all</u> costs, including labor, materials, services, regulatory compliance, overhead, and profit.

Unit Price No. 1: N/A

## 4. CONTRACT TIME

The Bidder offers to perform the work in accordance with the timeline specified below:

Start of construction:
 As enumerated in AIA contract

Substantial completion: As enumerated in AIA contract

Final completion:
 As enumerated in AIA contract

## 5. LIQUIDATED DAMAGES

The successful bidder awarded a contract pursuant to this solicitation shall be liable for and pay the State, as liquidated damages and not as a penalty, the following amount for <u>each</u> calendar day of delay beyond the date for substantial completion, as determined in the sole discretion of the State: \$0.00.

This bid proposal is irrevocable for 60 days from the bid proposal submission deadline.

If the Bidder is determined to be the successful bidder pursuant to this solicitation, the Bidder will promptly: (i) comply with each of the requirements of the Tentative Letter of Award; and (ii) commence and diligently pursue the work upon issuance and receipt of the purchase order from the State and authorization from the user agency.

Solicitation Title: Taxation Interior Tenant Fitout

The person signing below certifies that he or she has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

Date: 3/27/2015

**BIDDER** 

Name of Bidder

Printed name and title of person signing on behalf of Bidder

# 24600

Bidder's Contractor Registration Number



AIA Document A310

# **Bid Bond**

KNOW ALL MEN BY THESE PRESENTS, that we **LEGACY GENERAL CONTRACTING**, **INC.**, **of 654 Metacom Avenue**, **Warren**, **Rhode Island**, **02885** as Principal, hereinafter called the Principal, and **BERKLEY INSURANCE COMPANY** a corporation duly organized under the laws of the state of **Delaware** as Surety, hereinafter called the Surety, are held and firmly bound unto the **State of Rhode Island Department of Administration** as Obligee, hereinafter called the Obligee, in the sum of **Five Percent of the Amount of the Attached Bid**Dollars (\$ 5% of Bid),

for the payment of which sum well and truly to be made, the said Principal and the said Surety, bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has submitted a bid **Taxation Interior Tenant Fit Out, Powers Building Project Number: 7549350** 

NOW, THEREFORE, if the Obligee shall accept the bid of the Principal and the Principal shall enter into a Contract with the Obligee in accordance with the terms of such bid, and give such bond or bonds as may be specified in the bidding or Contract Documents with good and sufficient surety for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof, or in the event of the failure of the Principal to enter such Contract and give such bond or bonds, if the Principal shall pay to the Obligee the difference not to exceed the penalty hereof between the amount specified in said bid and such larger amount for which the Obligee may in good faith contract with another party to perform the Work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect.

Signed and sealed this 27th day of March, 2015

(Witness)

LEGACY GENERAL CONTRACTING, INC.

(Principal)

(Seal)

By:

(Seal)

(Surety)

(Surety)

(Surety)

(Seal)

By:

(Seal)

Charles A. Byrne(Title) Attorney-in-Fact

#### POWER OF ATTORNEY BERKLEY INSURANCE COMPANY WILMINGTON, DELAWARE

NOTICE: The warning found elsewhere in this Power of Attorney affects the validity thereof. Please review carefully.

KNOW ALL MEN BY THESE PRESENTS, that BERKLEY INSURANCE COMPANY (the "Company"), a corporation duly organized and existing under the laws of the State of Delaware, having its principal office in Greenwich, CT, has made, constituted and appointed, and does by these presents make, constitute and appoint: David J. Byrne, III; Charles A. Byrne or Denise A. Chianese of Starkweather & Shepley, Inc. of East Providence, RI its true and lawful Attorney-in-Fact, to sign its name as surety only as delineated below and to execute, seal, acknowledge and deliver any and all bonds and undertakings, with the exception of Financial Guaranty Insurance, providing that no single obligation shall exceed Fifty Million and 00/100 U.S. Dollars (U.S.\$50,000,000,00), to the same extent as if such bonds had been duly executed and acknowledged by the regularly elected officers of the Company at its principal office in their own proper persons.

This Power of Attorney shall be construed and enforced in accordance with, and governed by, the laws of the State of Delaware, without giving effect to the principles of conflicts of laws thereof. This Power of Attorney is granted pursuant to the following resolutions which were duly and validly adopted at a meeting of the Board of Directors of the Company held on January 25, 2010:

RESOLVED, that, with respect to the Surety business written by Berkley Surety Group, the Chairman of the Board, Chief Executive Officer, President or any Vice President of the Company, in conjunction with the Secretary or any Assistant Secretary are hereby authorized to execute powers of attorney authorizing and qualifying the attorney-in-fact named therein to execute bonds, undertakings, recognizances, or other suretyship obligations on behalf of the Company, and to affix the corporate seal of the Company to powers of attorney executed pursuant hereto; and said officers may remove any such attorney-in-fact and revoke any power of attorney previously granted; and further

RESOLVED, that such power of attorney limits the acts of those named therein to the bonds, undertakings, recognizances, or other suretyship obligations specifically named therein, and they have no authority to bind the Company except in the manner and to the extent therein stated; and further

RESOLVED, that such power of attorney revokes all previous powers issued on behalf of the attorney-in-fact named; and

RESOLVED, that the signature of any authorized officer and the seal of the Company may be affixed by facsimile to any power of attorney or certification thereof authorizing the execution and delivery of any bond, undertaking, recognizance, or other suretyship obligation of the Company; and such signature and seal when so used shall have the same force and effect as though manually affixed. The Company may continue to use for the purposes herein stated the facsimile signature of any person or persons who shall have been such officer or officers of the Company, notwithstanding the fact that they may have ceased to be such at the time when such instruments shall be issued.

IN WITNESS WHEREOF, the Company has caused these presents to be signed and attested by its appropriate officers and its

corporate s	seal hereunto affixed this 10 day of May	, 2013.
	Attest:	Berkley Insurance Company
(Seal)	By Ira'S, Lederman	By leffey M. Hoffer
	Senior Vice President & Secretary	Senior Vice President
WARNIN	G: THIS POWER INVALID IF NOT PRINTED O	N BLUE "BERKLEY" SECURITY PAPER.
S	TATE OF CONNECTICUT )	
	- ) ss:	

COUNTY OF FAIRFIELD , 2013, by Ira S. Lederman and Sworn to before me, a Notary Public in the State of Connecticut, this Le day of May Jeffrey M. Hafter who are sworn to me to be the Senior Vice President and Secretary, and the Senior Vice President, respectively, of KATHLEEN COREY Berkley Insurance Company.

Notary Public, State of Co

Andrew M. Tump

**NOTARY PUBLIC** CONNECTICUT COMMISSION EXPIRES OCTOBER 31, 2017

CERTIFICATE

I, the undersigned, Assistant Secretary of BERKLEY INSURANCE COMPANY, DO HEREBY CERTIFY that the foregoing is a true, correct and complete copy of the original Power of Attorney; that said Power of Attorney has not been revoked or rescinded and that the authority of the Attorney-in-Fact set forth therein, who executed the bond or undertaking to which this Power of Attorney is attached, is in full force and effect as of this date.

Given under my hand and seal of the Company, this 27th day of March

(Seal)

Form W-9 (Rev. 3/7/11)

# State of Rhode Island PAYER'S REQUEST FOR TAXPAYER IDENTIFICATION NUMBER AND CERTIFICATION

THE IRS REQUIRES THAT YOU FURNISH YOUR TAXPAYER IDENTIFICATION NUMBER TO US. FAILURE TO PROVIDE THIS INFORMATION CAN RESULT IN A \$50 PENALTY BY THE IRS. IF YOU ARE AN INDIVIDUAL, PLEASE PROVIDE US WITH YOUR SOCIAL SECURITY NUMBER (39N) IN THE SPACE INDICATED BELOW. IF YOU ARE A COMPANY OR A CORPORATION, PLEASE PROVIDE US WITH YOUR EMPLOYER IDENTIFICATION NUMBER (EIN) WHERE INDICATED.

Taxpaver Identification Number (T.i.N.)
Enter your taxpayer identification number in the appropriate box. For most individuals,
this is your social security number.
27 0080972
NAME Leggey General Confracting
ADDRESS 654 Metacom Ave
(REMITTANCE ADDRESS, IF DIFFERENT)
CITY, STATE AND ZIP CODE Warren RT 02885
CERTIFICATION: Under penalties of perjury, I certify that:
<ol> <li>The number shown on this form is my correct Texpayer identification Number (or I am waiting for a number to be issued to me), and</li> <li>I am not subject to backup withholding because either: (A) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (B) the IRS has notified me that I am no longer subject to backup withholding.</li> </ol>
<u>Certification Instructions</u> — You must cross out item (2) above if you have been notified by the IRS that you are subject to backup withholding because of under-reporting interest or dividends on your tax return. However, if after being notified by IRS that you were subject to backup withholding you received another notification from IRS that you are no longer subject to backup withholding, do not cross out item (2).
SIGNATURE TITLE PUS don't DATE 3/27/15 TEL NO. 247-74
BUSINESS DESIGNATION:
Please Check One: Individual 🗀 . Medical Services Corporation 🔲 Government/Nonprofit Corporation 🔲
Partnership Corporation Trust/Estate Legal Services Corporation
NAME: Be sure to enter your full and correct name as listed in the IRS file for you or your business.
ADDRESS, CITY, STATE AND ZIP CODE: Enter your primary business address and remittance address if different from your primary address). If you operate a business at more than one location, adhere to the following:  1) Same T.I.N. with more than one location attach a list of location addresses with remittance address for each location and indicate to which location the year-end tax information return should be mailed.  2) Different T.I.N. for each different location submit a completed W-9 form for each T.I.N. and location. (One year-end tax information return will be reported for each T.I.N. and remittance address.)
CERTIFICATION Sign the certification, enter your title, date, and your telephone number (including area code and extension).
BUSINESS TYPE CHECK-OFF – Check the appropriate box for the type of business ownership.

Mali to: Supplier Coordinator, One Capitol Hill, Providence, RI 02908